



Company Name

Address

City, State, Zip Code

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Dear Valued Lending Partner:

Our records indicate that it is time for Axos Bank™ to process your annual lending partner recertification. As part of the process, it is imperative that you please 1) inform us of either of the following events, and 2) submit the items listed below.

- Yes  No Has ownership structure changed by more than 25% per individual owner?
- Yes  No Have there been any material changes to the financial condition of your organization?

If you answered yes to either of the above questions, we will need you to provide supporting documentation.

Additional items for submission:

- Current year tax returns or audited financial statement
- Current Unaudited Financial Statement - Balance sheet and P&L
- Current E&O Insurance and Fidelity Bond (Correspondent)
- Performance review/report cards from current investors (Correspondent)
- Current information if company has any outstanding lawsuits and/or judgments

I attest that the above information is true and correct.

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

When complete please print, sign and return the recertification information and additional items to:  
[clientrelations@axosbank.com](mailto:clientrelations@axosbank.com)

Axos Bank appreciates your business, and we look forward to a continued prosperous relationship.

Respectfully,  
Third Party Client Relations

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[clientrelations@axosbank.com](mailto:clientrelations@axosbank.com) 4350 La Jolla Village Drive Suite 140, Mail Stop 520, San Diego, CA, 92122